



# House of Representatives

General Assembly

**File No. 183**

January Session, 2005

House Bill No. 6807

*House of Representatives, April 5, 2005*

The Committee on Insurance and Real Estate reported through REP. O'CONNOR of the 35th Dist., Chairperson of the Committee on the part of the House, that the bill ought to pass.

## **AN ACT CONCERNING FEES FOR REQUESTS FOR AGENT APPOINTMENTS.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Subsection (a) of section 38a-11 of the general statutes is  
2 repealed and the following is substituted in lieu thereof (*Effective*  
3 *October 1, 2005*):

4 (a) The commissioner shall demand and receive the following fees:  
5 (1) For the annual fee for each license issued to a domestic insurance  
6 company, one hundred dollars; (2) for receiving and filing annual  
7 reports of domestic insurance companies, twenty-five dollars; (3) for  
8 filing all documents prerequisite to the issuance of a license to an  
9 insurance company, one hundred seventy-five dollars, except that the  
10 fee for such filings by any health care center, as defined in section 38a-  
11 175, shall be one thousand one hundred dollars; (4) for filing any  
12 additional paper required by law, fifteen dollars; (5) for each certificate  
13 of valuation, organization, reciprocity or compliance, twenty dollars;

14 (6) for each certified copy of a license to a company, twenty dollars; (7)  
15 for each certified copy of a report or certificate of condition of a  
16 company to be filed in any other state, twenty dollars; (8) for  
17 amending a certificate of authority, one hundred dollars; (9) for each  
18 license issued to a rating organization, one hundred dollars. In  
19 addition, insurance companies shall pay any fees imposed under  
20 section 12-211; (10) a filing fee of twenty-five dollars for each initial  
21 application for a license made pursuant to section 38a-769; (11) with  
22 respect to insurance agents' appointments: (A) A filing fee of twenty-  
23 five dollars for each request for any agent appointment, except that no  
24 filing fee shall be payable for a request for agent appointment by an  
25 insurance company domiciled in a state or foreign country which does  
26 not require any filing fee for a request for agent appointment for a  
27 Connecticut insurance company; (B) a fee of forty dollars for each  
28 appointment issued to an agent of a domestic insurance company or  
29 for each appointment continued; and (C) a fee of twenty dollars for  
30 each appointment issued to an agent of any other insurance company  
31 or for each appointment continued, except that no fee shall be payable  
32 for an appointment issued to an agent of an insurance company  
33 domiciled in a state or foreign country which does not require any fee  
34 for an appointment issued to an agent of a Connecticut insurance  
35 company; (12) with respect to insurance producers: (A) An  
36 examination fee of seven dollars for each examination taken, except  
37 when a testing service is used, the testing service shall pay a fee of  
38 seven dollars to the commissioner for each examination taken by an  
39 applicant; (B) a fee of forty dollars for each license issued; and (C) a fee  
40 of forty dollars for each license renewed; (13) with respect to public  
41 adjusters: (A) An examination fee of seven dollars for each  
42 examination taken, except when a testing service is used, the testing  
43 service shall pay a fee of seven dollars to the commissioner for each  
44 examination taken by an applicant; and (B) a fee of one hundred  
45 twenty-five dollars for each license issued or renewed; (14) with  
46 respect to casualty adjusters: (A) An examination fee of ten dollars for  
47 each examination taken, except when a testing service is used, the  
48 testing service shall pay a fee of ten dollars to the commissioner for

49 each examination taken by an applicant; (B) a fee of forty dollars for  
50 each license issued or renewed; and (C) the expense of any  
51 examination administered outside the state shall be the responsibility  
52 of the entity making the request and such entity shall pay to the  
53 commissioner one hundred dollars for such examination and the  
54 actual traveling expenses of the examination administrator to  
55 administer such examination; (15) with respect to motor vehicle  
56 physical damage appraisers: (A) An examination fee of forty dollars  
57 for each examination taken, except when a testing service is used, the  
58 testing service shall pay a fee of forty dollars to the commissioner for  
59 each examination taken by an applicant; (B) a fee of forty dollars for  
60 each license issued or renewed; and (C) the expense of any  
61 examination administered outside the state shall be the responsibility  
62 of the entity making the request and such entity shall pay to the  
63 commissioner one hundred dollars for such examination and the  
64 actual traveling expenses of the examination administrator to  
65 administer such examination; (16) with respect to certified insurance  
66 consultants: (A) An examination fee of thirteen dollars for each  
67 examination taken, except when a testing service is used, the testing  
68 service shall pay a fee of thirteen dollars to the commissioner for each  
69 examination taken by an applicant; (B) a fee of two hundred dollars for  
70 each license issued; and (C) a fee of one hundred twenty-five dollars  
71 for each license renewed; (17) with respect to surplus lines brokers: (A)  
72 An examination fee of ten dollars for each examination taken, except  
73 when a testing service is used, the testing service shall pay a fee of ten  
74 dollars to the commissioner for each examination taken by an  
75 applicant; and (B) a fee of five hundred dollars for each license issued  
76 or renewed; (18) with respect to fraternal agents, a fee of forty dollars  
77 for each license issued or renewed; (19) a fee of thirteen dollars for  
78 each license certificate requested, whether or not a license has been  
79 issued; (20) with respect to domestic and foreign benefit societies shall  
80 pay: (A) For service of process, twenty-five dollars for each person or  
81 insurer to be served; (B) for filing a certified copy of its charter or  
82 articles of association, five dollars; (C) for filing the annual report, ten  
83 dollars; and (D) for filing any additional paper required by law, three

84 dollars; (21) with respect to foreign benefit societies: (A) For each  
85 certificate of organization or compliance, four dollars; (B) for each  
86 certified copy of permit, two dollars; and (C) for each copy of a report  
87 or certificate of condition of a society to be filed in any other state, four  
88 dollars; (22) with respect to reinsurance intermediaries: A fee of five  
89 hundred dollars for each license issued or renewed; (23) with respect  
90 to viatical settlement providers: (A) A filing fee of thirteen dollars for  
91 each initial application for a license made pursuant to section 38a-465a;  
92 and (B) a fee of twenty dollars for each license issued or renewed; (24)  
93 with respect to viatical settlement brokers: (A) A filing fee of thirteen  
94 dollars for each initial application for a license made pursuant to  
95 section 38a-465a; and (B) a fee of twenty dollars for each license issued  
96 or renewed; (25) with respect to viatical settlement investment agents:  
97 (A) A filing fee of thirteen dollars for each initial application for a  
98 license made pursuant to section 38a-465a; and (B) a fee of twenty  
99 dollars for each license issued or renewed; (26) with respect to  
100 preferred provider networks, a fee of two thousand five hundred  
101 dollars for each license issued or renewed; (27) with respect to rental  
102 companies, as defined in section 38a-799, a fee of forty dollars for each  
103 permit issued or renewed; and (28) with respect to each duplicate  
104 license issued a fee of twenty-five dollars for each license issued.

This act shall take effect as follows and shall amend the following sections:

Section 1	October 1, 2005	38a-11(a)
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**INS**      *Joint Favorable*

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

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**OFA Fiscal Note****State Impact:**

Agency Affected	Fund-Effect	FY 06 \$	FY 07 \$
Insurance Dept.	GF - Revenue Loss	Minimal	Minimal

Note: GF=General Fund

**Municipal Impact:** None

**Explanation**

The bill exempts a non-Connecticut domiciled insurance company from the \$25 per “request for agent appointment” filing fee under certain conditions. This will result in a minimal revenue loss to the state.

**OLR Bill Analysis**

HB 6807

**AN ACT CONCERNING FEES FOR REQUESTS FOR AGENT APPOINTMENTS****SUMMARY:**

This bill exempts a non-Connecticut domiciled (non-domestic) insurance company from the \$25 per "request for agent appointment" filing fee if the state or country where the company is domiciled does not require a fee when a Connecticut insurance company requests an agent appointment in that state or country.

EFFECTIVE DATE: October 1, 2005

**BACKGROUND*****Agent Appointments***

Before a licensed insurance producer may act as an agent on behalf of a Connecticut insurance company, (1) the company must request an agent appointment from the Insurance Department and (2) the department must issue the appointment.

***Filing Fees***

Current law requires (1) a \$25 filing for each request for agent appointment; (2) a \$40 fee per appointment issued to or continued for an agent of a domestic insurance company; and (3) a \$20 fee per appointment issued or continued for an agent of a non-domestic insurance company. The latter fee is waived if the other state or country does not require a fee for an appointment issued to an agent of a Connecticut insurance company.

**COMMITTEE ACTION**

Insurance and Real Estate Committee

Joint Favorable Report

Yea 15    Nay 0

